

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 00-1027		Application Number 09/995,698	
				Applicant Andrew C. GILBERT			
				Filing Date November 29, 2001		Group Art Unit 3691	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		7,089,205	8/8/2006	Abernathy			
		7,379,910	5/27/2008	Abrahm et al.			
		7,599,856	10/6/2009	Agrawal et al.			
		7,542,943	6/2/2009	Caplan et al.			
		6,181,340	1/30/2001	Alimpich et al.			
		6,408,282	6/18/2002	Buist			
		7,720,742	5/18/2010	Mauro et al.			
		7,243,083	7/10/2007	Burns et al.			
		7,707,086	4/27/2010	Burns et al.			
		6,904,602	6/7/2005	Chow et al.			
		7,415,436	8/19/2008	Evelyn et al.			
		7,689,489	3/30/2010	Fergusson			
		6,993,504	1/31/2006	Freisen et al.			
		2003/0088499	5/8/2003	Gilbert et al.			
		2003/0004862	1/2/2003	Lutnick et al.			
		7,113,190	9/26/2006	Heaton			
		5,960,411	9/28/1999	Hartman et al.			
		2003/0018558	1/23/2003	Heffner et al.			
		6,233,566	5/15/2001	Levine et al.			
		6,343,278	1/29/2002	Jain et al.			
		6,938,011	8/30/2005	Kemp, II et al.			
		6,772,132	8/3/2004	Kemp, II et al.			
		7,505,932	3/17/2009	Kemp, II et al.			
		2003/0023542	1/30/2003	Kemp, II et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
		EP 1100030	5/16/2001	EP	Braziel		
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		USPTO Notice of Allowance and Fees Due for Application No. 11/756875, August 2, 2010 (3 pages).					
		Trading Technologies document, "Trading System CONFIDENTIAL User Documentation," page 32, allegedly 1998 (one page).					
Examiner Signature					Date Considered		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 00-1027		Application Number 09/995,698	
				Applicant Andrew C. GILBERT			
				Filing Date November 29, 2001		Group Art Unit 3691	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		7,389,268	6/17/2008	Kemp, II et al.			
		7,127,424	10/24/2006	Kemp, II et al.			
		6,766,304	7/20/2004	Kemp, II et al.			
		2002/0111907	8/15/2002	Ling			
		6,098,051	8/1/2000	Lupien et al.			
		6,012,046	1/4/2000	Lupien et al.			
		5,950,177	9/7/1999	Lupien et al.			
		5,689,652	11/18/1997	Lupien et al.			
		5,596,347	1/21/1997	Robertson et al.			
		2002/0091615	7/11/2002	Salvani			
		7,577,602	8/18/2009	Singer			
		7,184,970	2/27/2007	Squillante			
		6,473,744	10/29/2002	Tuck et al.			
		5,805,167	9/8/1998	Van Cruyningen			
		2003/0120575	6/26/2003	Wallman			
		2003/0120574	6/26/2003	Wallman			
		6,016,484	1/18/2000	Williams et al.			
		5,963,924	10/5/1999	Williams et al.			
		5,815,657	9/29/1998	Williams et al.			
		2002/0120547	8/29/2002	Zajac			
		2003/0041000	2/27/2003	Zajac et al.			
		2002/0120546	8/29/2002	Zajac			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		USPTO Notice of Allowance and Fees Due for Application No. 09/745651, August 4, 2010 (3 pages).					
		U.S. Patent Application No. 12/789006, filed on May 27, 2010, Inventors: Glenn D. Kirwin, et al., entitled "Systems And Methods For Providing A Trading Interface" (48 pages).					
		U.S. Patent Application No. 12/789122, filed on May 27, 2010, Inventors: Glenn D. Kirwin, et al., entitled "Systems And Methods For Providing A Trading Interface" (48 pages).					
Examiner Signature					Date Considered		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 00-1027		Application Number 09/995,698	
				Applicant Andrew C. GILBERT			
				Filing Date November 29, 2001		Group Art Unit 3691	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		2003/0061069	3/27/2003	Silverman et al.			
		2003/0088509	5/8/2003	Wilton et al.			
		2006/0053074	3/9/2006	Wilton et al.			
		2006/0059082	3/16/2006	Silverman et al.			
		2008/0033865	2/7/2008	Wilton et al.			
		2008/0071664	3/20/2008	Silverman et al.			
		6,260,025	7/10/2001	Silverman et al.			
		7,080,033	7/18/2006	Wilton et al.			
		7,225,150	5/29/2007	Wilton et al.			
		7,505,915	3/17/2009	Silverman et al.			
		7,725,383	5/25/2010	Wilton et al.			
		4,942,616	7/17/1990	Linstroth et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
		EP 0625275	4/16/1997	EP	Togher et al.		
		EP 0512702A2	11/11/1992	EP	Donner et al.		
		WO 93/15467	8/5/1993	PCT	Togher et al.		
		EP 0399850	11/28/1990	EP	Silverman et al.		
		EP 0491455	6/24/1992	EP	Ordish et al.		
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		USPTO Petition Decision for Application No. 09/745651, September 1, 2010 (1 page).					
		USPTO Petition Decision for Application No. 11/756875, August 31, 2010 (1 page).					
		USPTO Notice of Withdrawal from Issue for Application No. 11/756875, September 1, 2010 (1 page).					
		Notice of Opposition filed on January 15, 1998 against European Application No. EP 93904823.7 with Annex A3, document dated January 16, 1998 (108 pages).					
		"Money Match Functional Specification," The Sequor Group, Inc. Software Services, Version 1, August 1990 (87 pages).					
Examiner Signature					Date Considered		